

OPERATION OF DISSOLUTION

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MECHANISM OF DISSOLUTION



Mechanism of dissolution

Thermodynamical solubility: equilibrial process, described with an endpoint.

Kinetic solubility: dissolution in time, described with the rate of dissolution

DEFINITION OF THE SOLUTION

Solute is dispersed in a solvent.

Particle size (d)	Type of Solution
< 1 nm	Real solution
$1 < d < 1000$ nm	Colloidal solution
> 1000 nm	Disperse system (NOT SOLUTION, colloidal system)

OPERATION OF DISSOLUTION



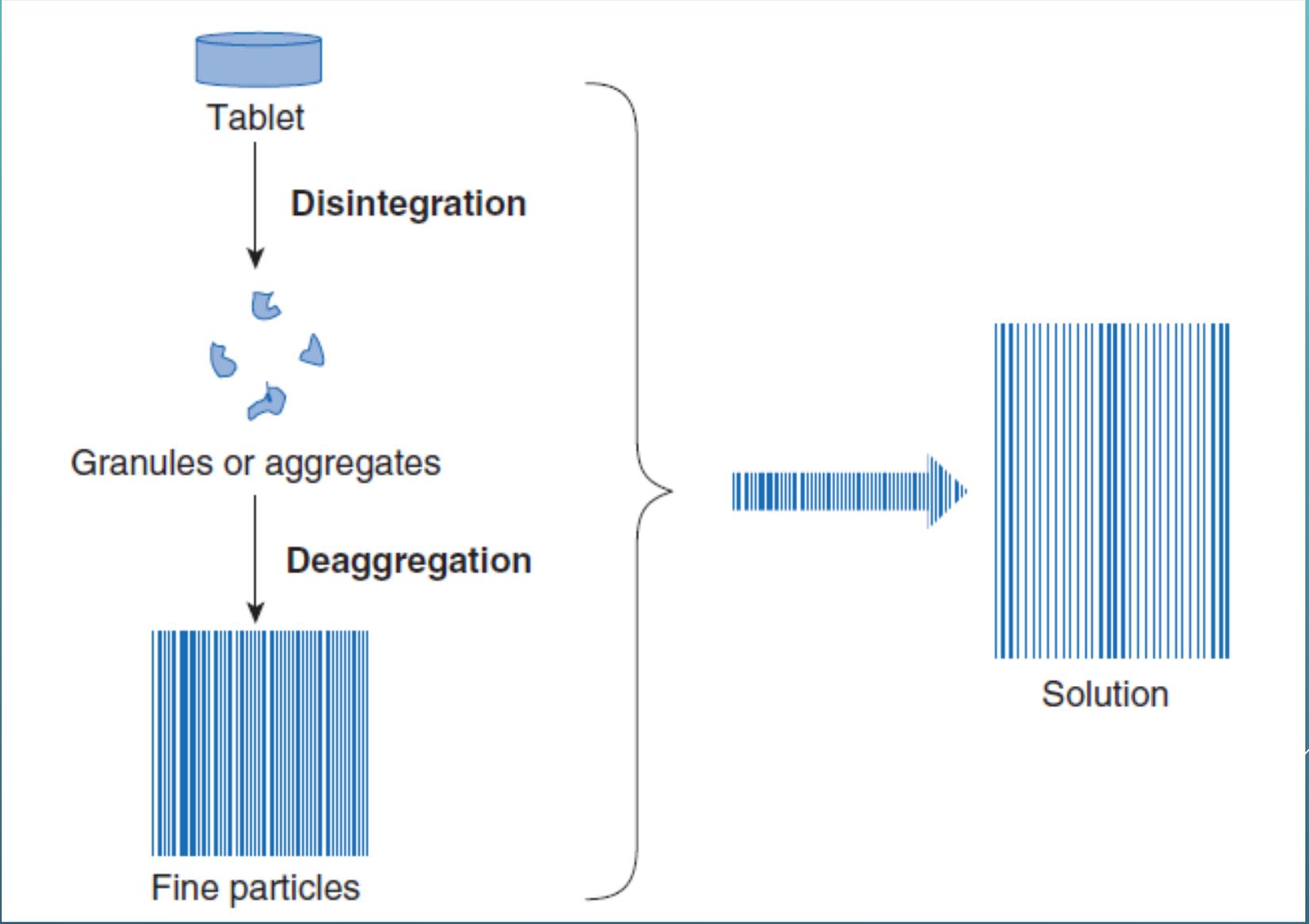
Operation of dissolution in pharmaceutical technology

- ▶ Basic operation
- ▶ Forming operation (solution dosage form)

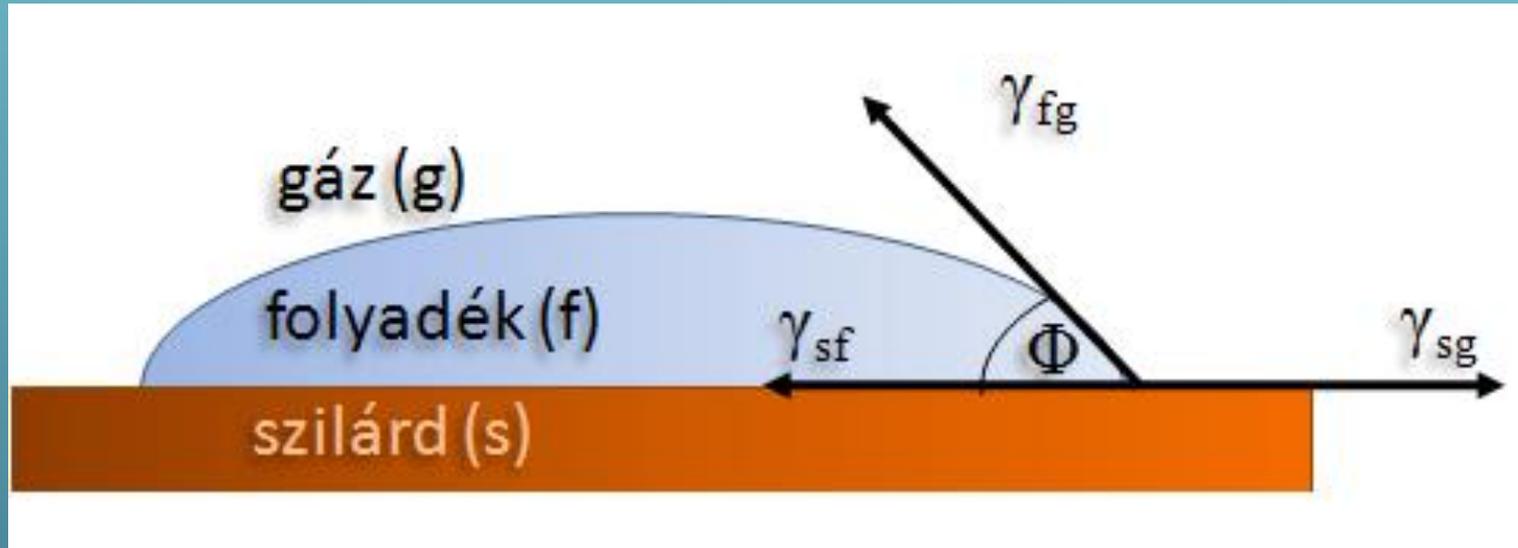
Operations are performed by **procedure**, for example **mixing** is performed by **shaker**, magnetic **stirrer**.

The properties of the solution/dissolution are important criteria of the absorption (biopharmacy).

ABSORPTION PRECEDING STEPS IN CASE OF TABLET DOSAGE FORM



MECHANISM OF DISSOLUTION – WETTING



Young equation

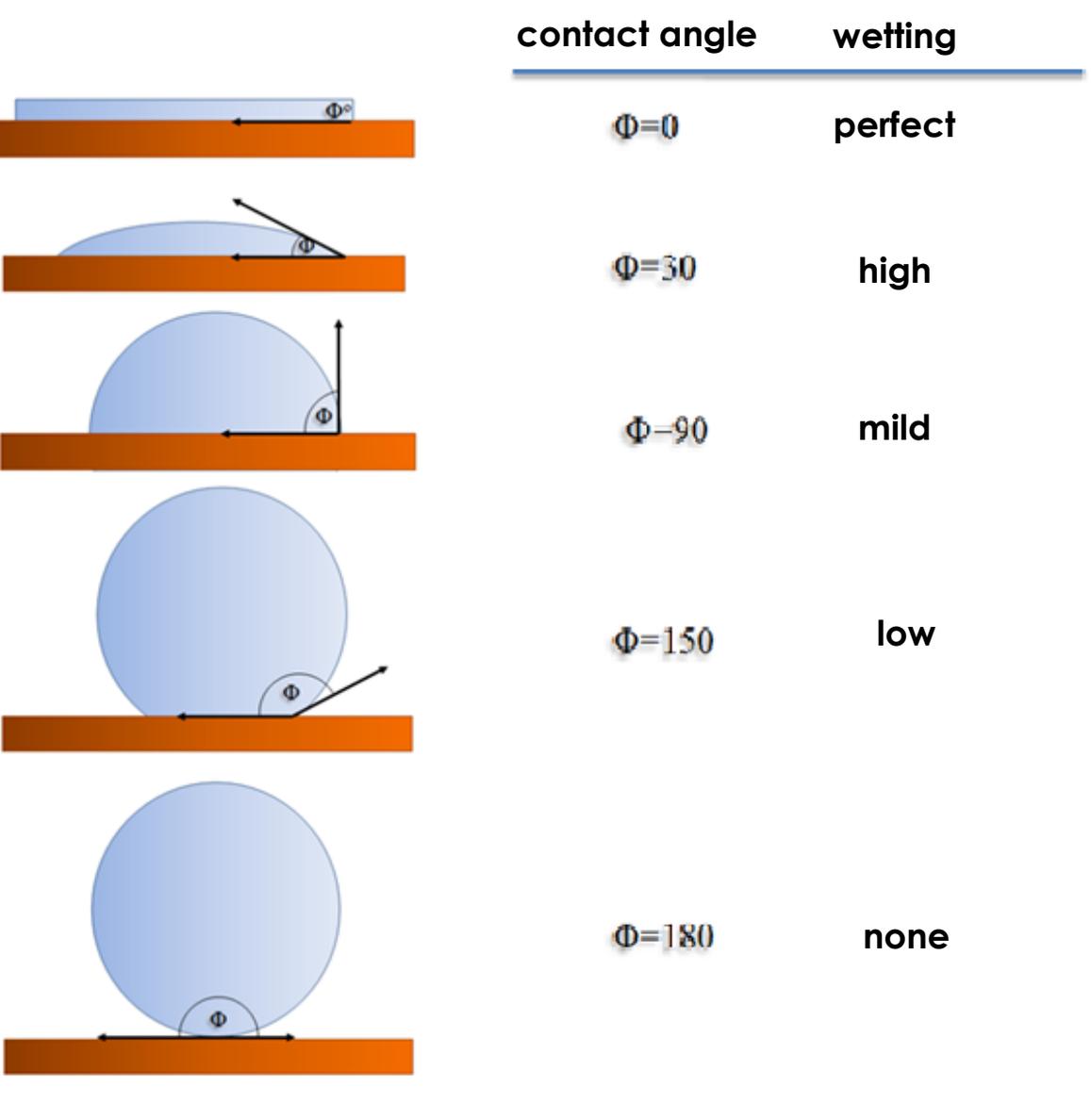
$$\gamma_{sg} = \gamma_{sf} + \gamma_{fg} \cos \theta$$

γ_{sg} = solid/gas surface tension

γ_{sf} = solid/liquid surface tension

γ_{fg} = liquid/gas surface tension.

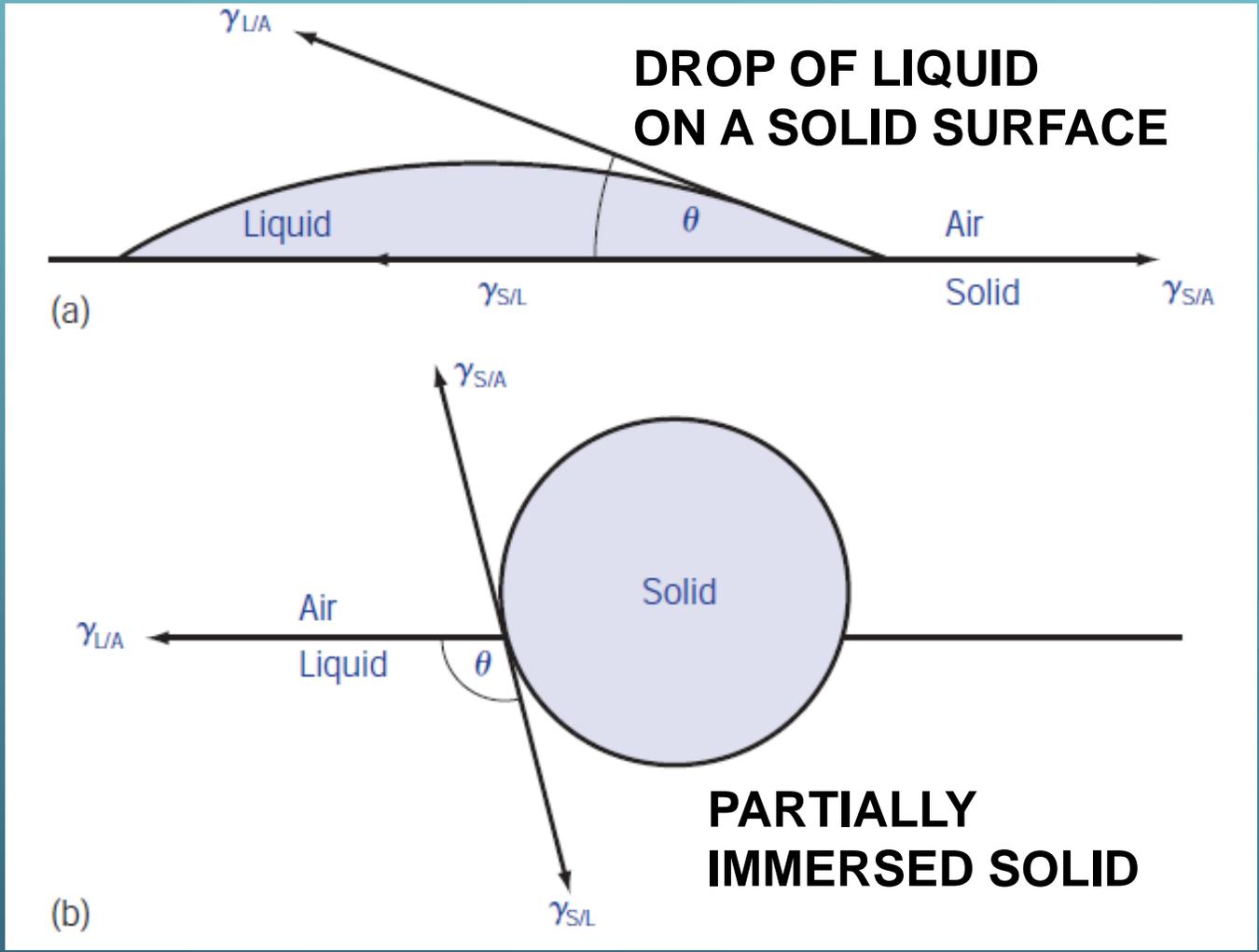
MECHANISM OF DISSOLUTION- WETTING



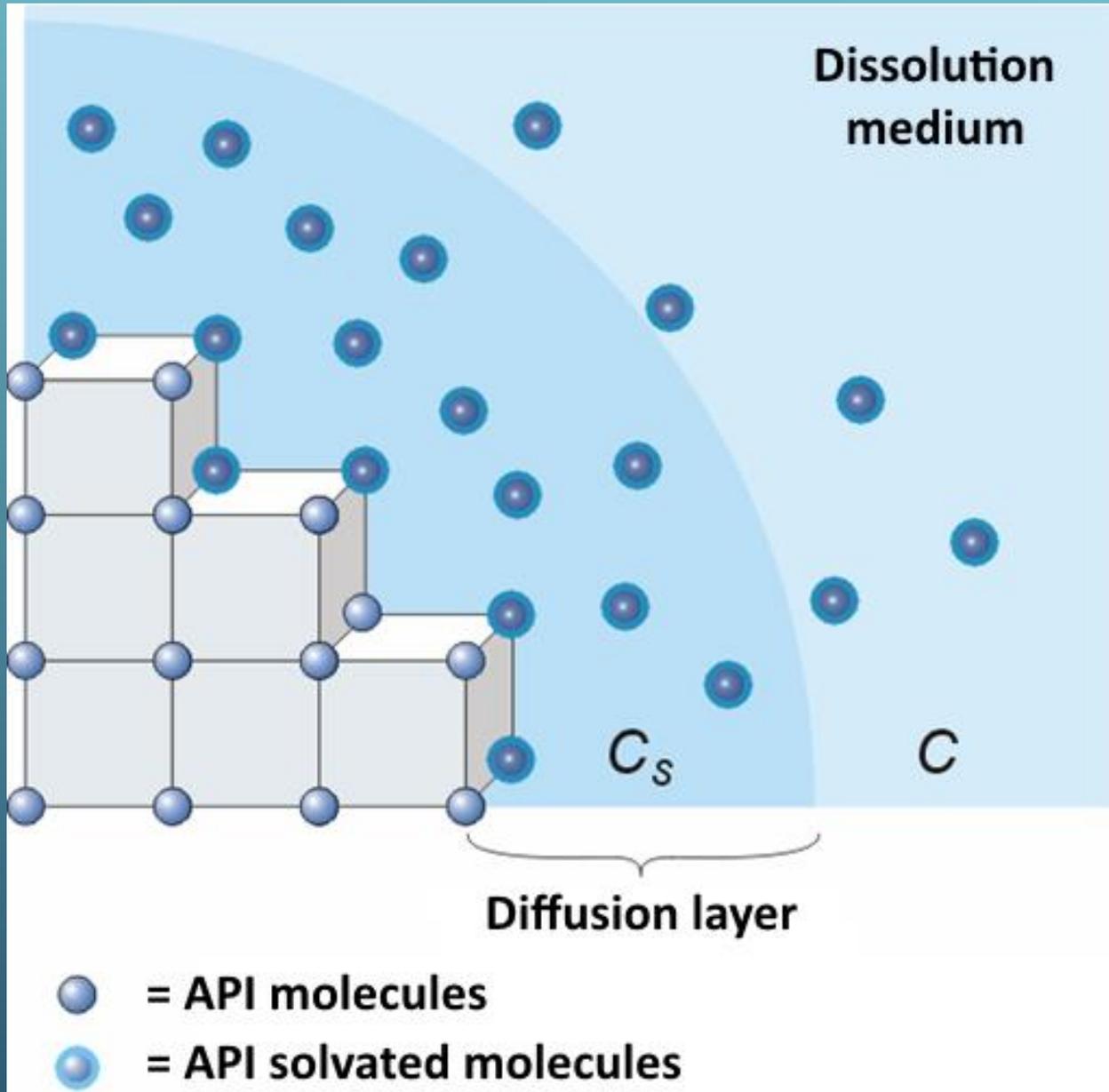
LIOPHILIC

LIOPHOBIC

MECHANISM OF DISSOLUTION- WETTING

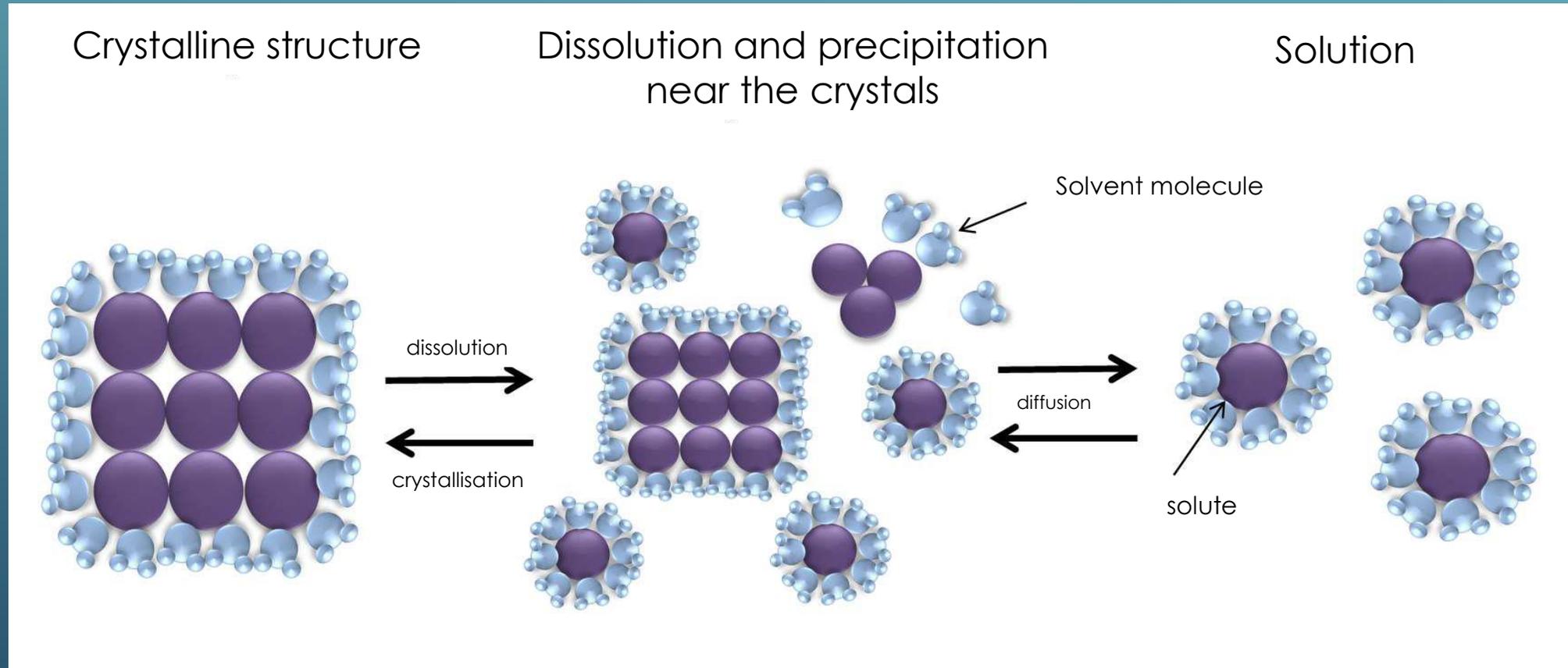


MECHANISM OF DISSOLUTION



DISSOLUTION OF CRYSTALLINE SUBSTANCES

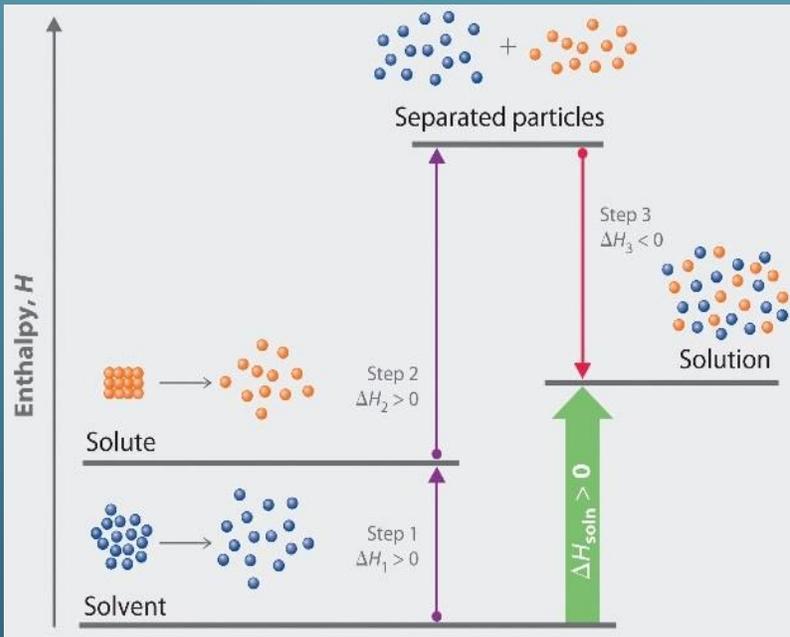
1. The disintegration of the solute crystalline structure. (E_{lattice})
2. **Solvation:** Solute molecules are surrounded by the molecules of solvent. (E_{soln})
3. **Solvent diffusion**, concentration gradient equalisation.
4. Formation of **real solution**.



THERMODYNAMICS OF DISSOLUTION

Dissolution can be an exothermic and an endothermic reaction.

- ▶ Heat of dissolution is dependent on the rate of the two procedure:
 - ▶ Dissociation of crystalline lattice is always endothermic reaction (E_{lattice})
 - ▶ Solvation always goes with energy release (E_{solv})

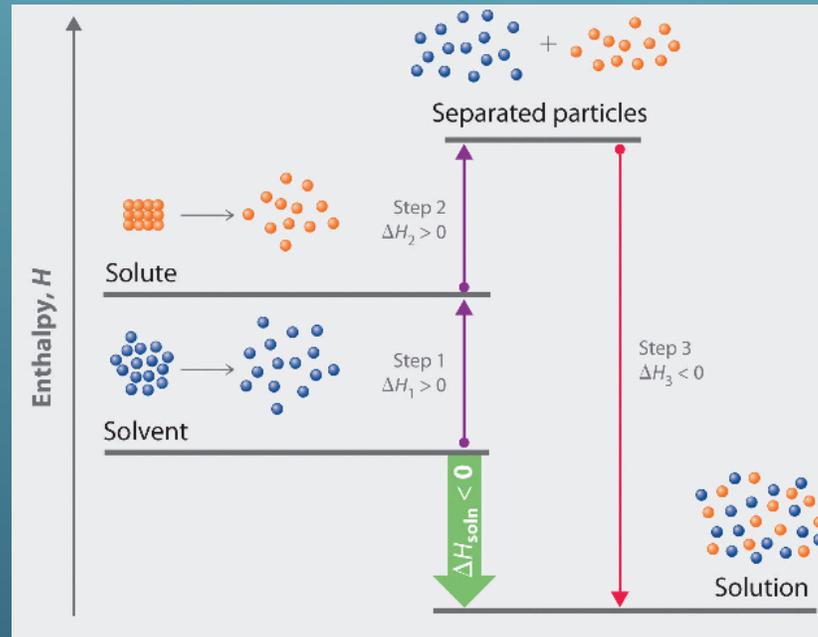


Endothermic dissolution

$$E_{\text{lattice}} > E_{\text{solv}}$$

Enthalpy of
dissolution

$$\Delta H = E_{\text{lattice}} + E_{\text{solv}}$$



Exothermic dissolution

$$E_{\text{lattice}} < E_{\text{solv}}$$

$$\ln \frac{S_{T1}}{S_{T2}} = \frac{\Delta H (T_2 - T_1)}{R T_1 T_2}$$

S_f a total solubility
 ΔH enthalpy difference
 during solubilization
(heat of solution)

SOLVENT-SOLUTE INTERACTIONS DURING SOLVATION

- ▶ In case of polar hydrophilic solvents

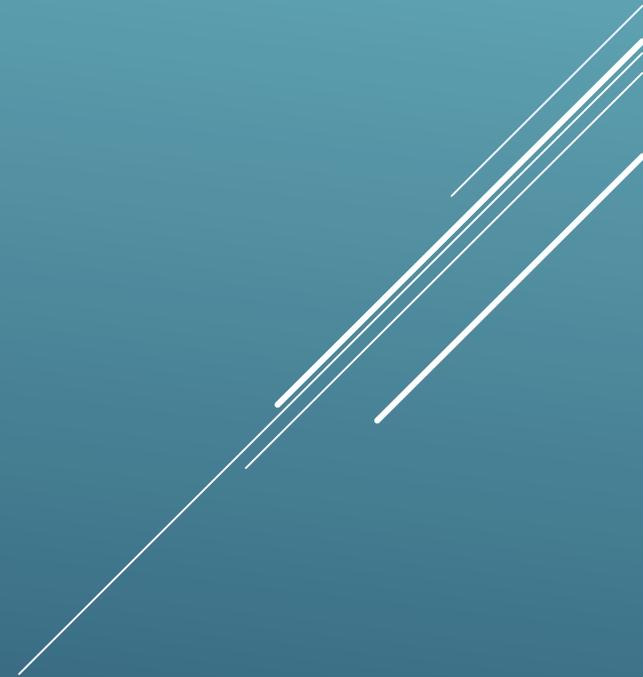
 - Hydrogen bond, ion-dipole interaction, dipole-dipole interactions

- ▶ Ionic solvents

 - Ionic interactions

- ▶ Apolar solvents

 - van der Waals interactions



DEFINITIONS REGARDING DISSOLUTION

▶ THERMODYNAMIC SOLUBILITY

Concentration of solute in saturated solution . (T=const.)

▶ KINETIC SOLUBILITY

Measured by reducing the solubility of the solute in a given system.
(T=const.)

▶ INTRINSIC SOLUBILITY

Thermodynamic solubility measured at a pH value where the API is fully ionised.

▶ APPARENT SOLUBILITY

Thermodynamical solubility measured at different pH values or in different buffer solutions.

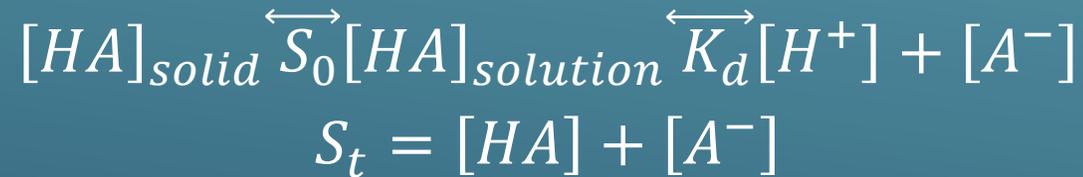
RATIO OF IONIC/ NON-IONIC FORM

API ionic (dissociated) and non ionic (non dissociated) form carries great importance in biopharmacy → **absorption occurs only in non-ionic form.**

Ratio of IONIC/NONIONIC form depends on:

- ▶ pH in GI tract
- ▶ pK value of the API (Henderson-Hasselbach equation)

The equilibrium between ionic and non-ionic form is determined by the solubility (S_0) of the API and the dissociation coefficient (K_d) (on a given pH):



HA: non ionic form

A⁻: ionic form

RATIO OF IONIC/ NON-IONIC FORM

Henderson-Hasselbach equation

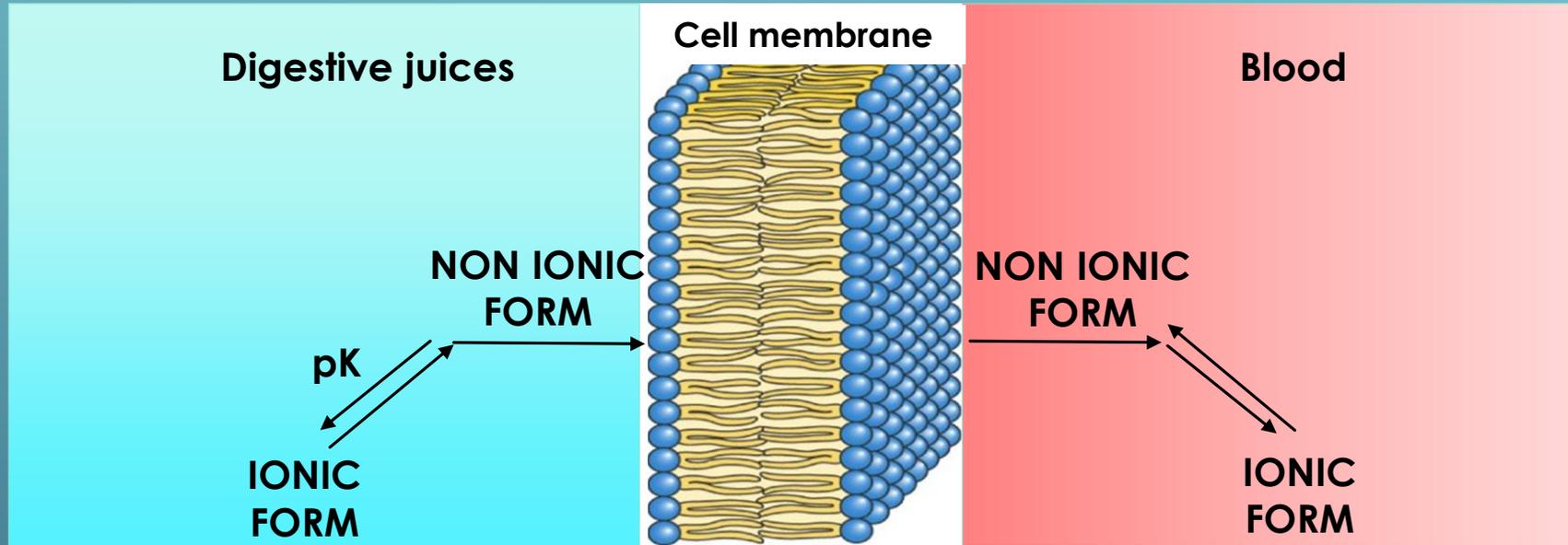
Weak acid:

$$pK_a = pH - \log \frac{[A^-]}{[HA]}$$

Weak base:

$$pK_a = pH - \log \frac{[B]}{[BH^+]}$$

ABSORPTION IN THE GI TRACT



Brodie partition theory: membranes are permeable only for the **non-ionic form**

Factors determining the distribution of the API in GI tract:

- ▶ Solubility
- ▶ Rate of dissolution
- ▶ Diffusion
- ▶ pH /pK_a values

Membrane diffusion determining factors:

- ▶ Partition coefficient (logP)
- ▶ Lipinski's rule of five

P – PARTITION COEFFICIENT

Partition between two immiscible liquids

The lipophilicity of the API is described with the partition coefficients:

$$P = \frac{c_o}{c_w}$$

- ▶ c_o : API concentration in octanol phase
- ▶ c_w : concentration of API in water phase

If $P \gg 1$, the API is lipophilic.

It gives information possibility of absorption.

GENERAL SOLUBILITY EQUATION

General Solubility Equation (GSE)

$$\log S_0 = 0,5 - 0,01 \cdot (T_{op} - 25) - \log P$$

- ▶ S_0 – intrinsic equilibrium solubility
- ▶ T_{op} – melting point
- ▶ P – octanol-water partition coefficient

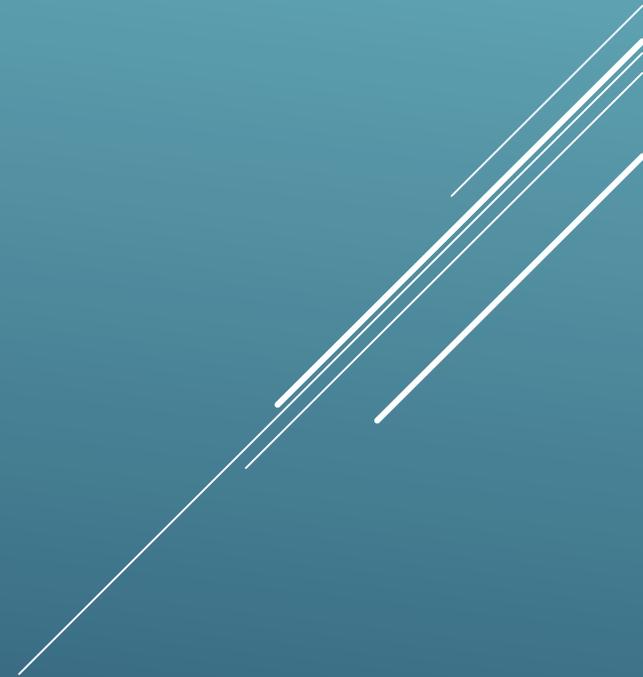
DIFFUSION – EINSTEIN-STOKES RELATION

$$D = \frac{kT}{6\pi\eta r}$$

- ▶ k – Boltzmann constant
- ▶ T = absolute temperature
- ▶ η – viscosity
- ▶ r – radius of molecule
- ▶ D – diffusion coefficient

Assumes a spherical molecule.

Not valid for a long chain polymers.



DISSOLUTION RATE

Noyes-Whitney equation:

$$\frac{dc}{dt} = k \cdot (c_s - c)$$

- ▶ k – dissolution rate coefficient

Modified Noyes-Whitney equation (Nernst-Brunner)

$$\frac{dc}{dt} = \frac{AD}{Vh} \cdot (c_s - c)$$

- ▶ D – diffusion coefficient
- ▶ h – diffusion layer thickness
- ▶ A – surface area of undissolved solid
- ▶ c_s – concentration of solution at a given time
- ▶ c – concentration

If $c_s \gg c$ SINK CONDITIONS/CRITERIA are fulfilled.

EUROPEAN PHARMACOPOEIA TERMS FOR DESCRIBING SOLUBILITY

Descriptive term	Approximate volume of solvent (mL) necessary to dissolve 1 g of solute
Very soluble	<1
Freely soluble	1-10
Soluble	10-30
Sparsingly soluble	30-100
Slightly soluble	100-1.000
Very slightly soluble	1.000-10.000
Practically insoluble	>10.000

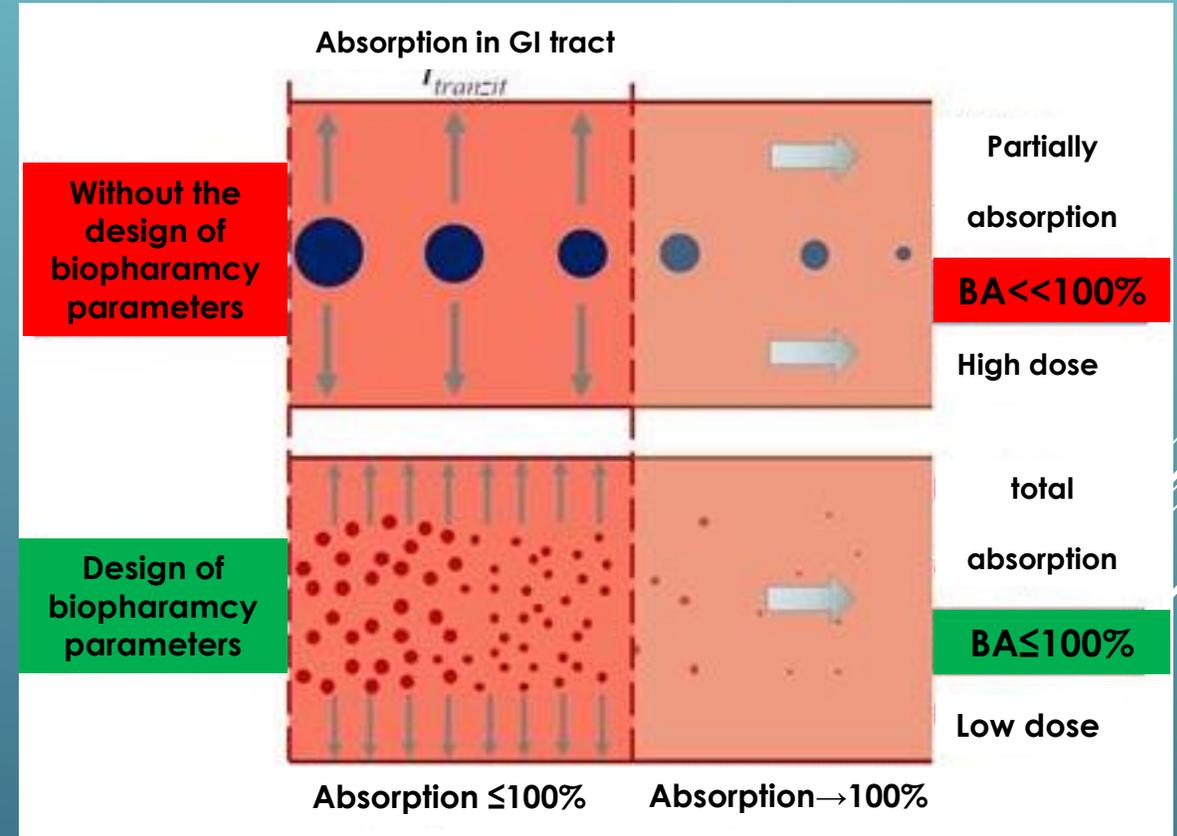
SOLUBILITY, DISSOLUTION RATE, TRANSIT TIME

The absorption is faster in case of solution dosage form because the API molecules are „ready to be absorbed”.

T_{tranzit} – time until the total absorption

In case of partial dissolution:

- ▶ low bioavailability
- ▶ high dose



SOLUTIONS CAN BE ADMINISTERED IN THE FOLLOWING WAYS

- ▶ Oral (buccal)
 - ▶ Peroral
 - ▶ Dermal and transdermal
 - ▶ Ocular
 - ▶ Auricularia (used for the treatment of the earcavity)
 - ▶ Nasalia
 - ▶ Rectal
 - ▶ Vaginal
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SOLUBILITY AND PERMEABILITY OF API

Biofarmaceutical Classification System - BCS

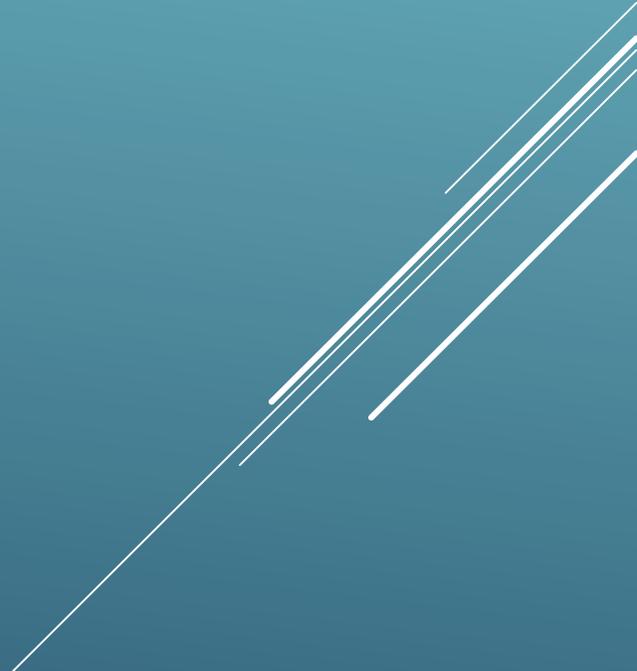
classification	Solubility	Permeability
I.	GOOD	GOOD
II.	POOR	GOOD
III.	GOOD	POOR
IV.	POOR	POOR

ENHANCEMENT OF API SOLUBILITY

Enhancement solubility

- ▶ Different API derivatives
- ▶ Salt formation
- ▶ Crystal structure
(polimorphy, amorphous form)

Enhancement of dissolution rate

- ▶ Solid dispersion
 - ▶ Nanonisation
 - ▶ Solvent mixing
 - ▶ Surfactants
 - ▶ Compleces
- 
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ENHANCEMENT OF DISSOLUTION RATE – SALT FORMATION

The selection of salt form depends on:

- ▶ Solubility
- ▶ Hygroscopy
- ▶ Stability
- ▶ Toxicological properties

$$\Delta G_{\text{solvent}} = \Delta G_{\text{cation}} + \Delta G_{\text{anion}} - \Delta G_{\text{lattice}}$$

The selection of the appropriate salt form is based on experiments, the solubility cannot be properly calculated or predicted beforehand.

- ▶ Na, K, Ca, hydrochlorid, methan-sulfonate(mesylate)
- ▶ E.g.: ephedrine → ephedrine hydrochloride , phenobarbital → phenobarbital -sodium

ENHANCEMENT OF DISSOLUTION RATE – SALT FORMATION

Different salt formation approaches

Basic (API)

- ▶ hydrochloride
- ▶ mesylate
- ▶ acetate, fumarate, succinate, tartrate
- ▶ sulphate, phosphate, nitrate, carbonate

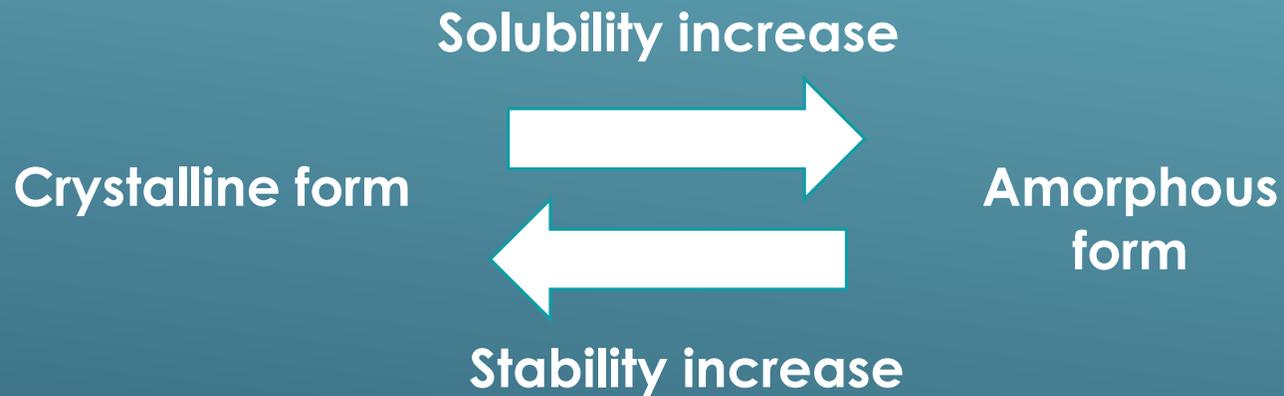
Acidic (API)

- ▶ Na⁺, K⁺, Ca⁺
- ▶ tromethamine

ENHANCEMENT OF DISSOLUTION RATE – **STRUCTURE**

- ▶ Polymorphy
- ▶ Amorphous or crystalline structure

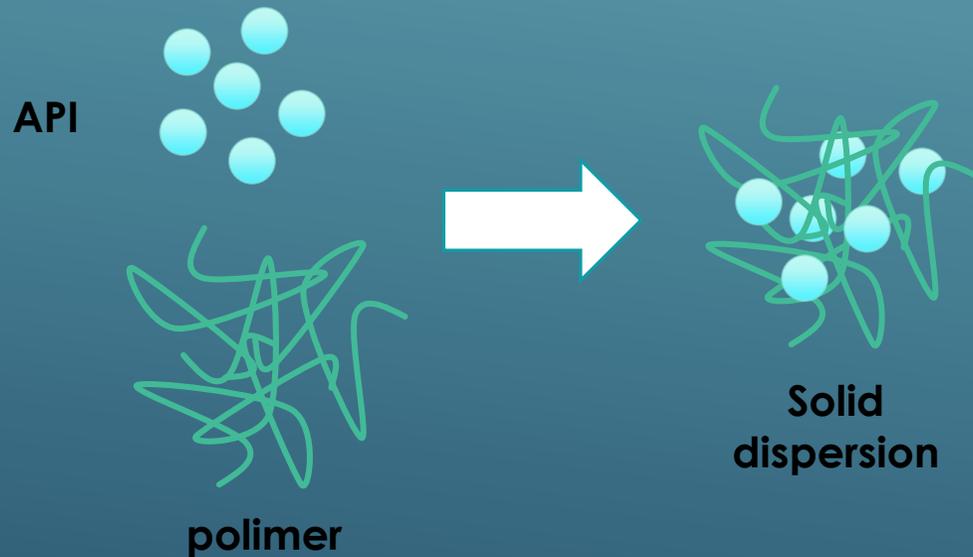
The crystalline structure determines the process of solvation.



ENHANCEMENT OF DISSOLUTION RATE– **SOLID DISPERSIONS**

Solid dispersion: API is dispersed in solid hydrophilic solvents.

- ▶ Solvent: different polymers, mixtures of polymers
- ▶ Excipients: plasticizer
- ▶ Manufacturing: melting method, solvent evaporation method , spray drying



Characteristics:

- ▶ Amorphous API
- ▶ Hydrophilic basis → increase of water solubility

Increased absorption

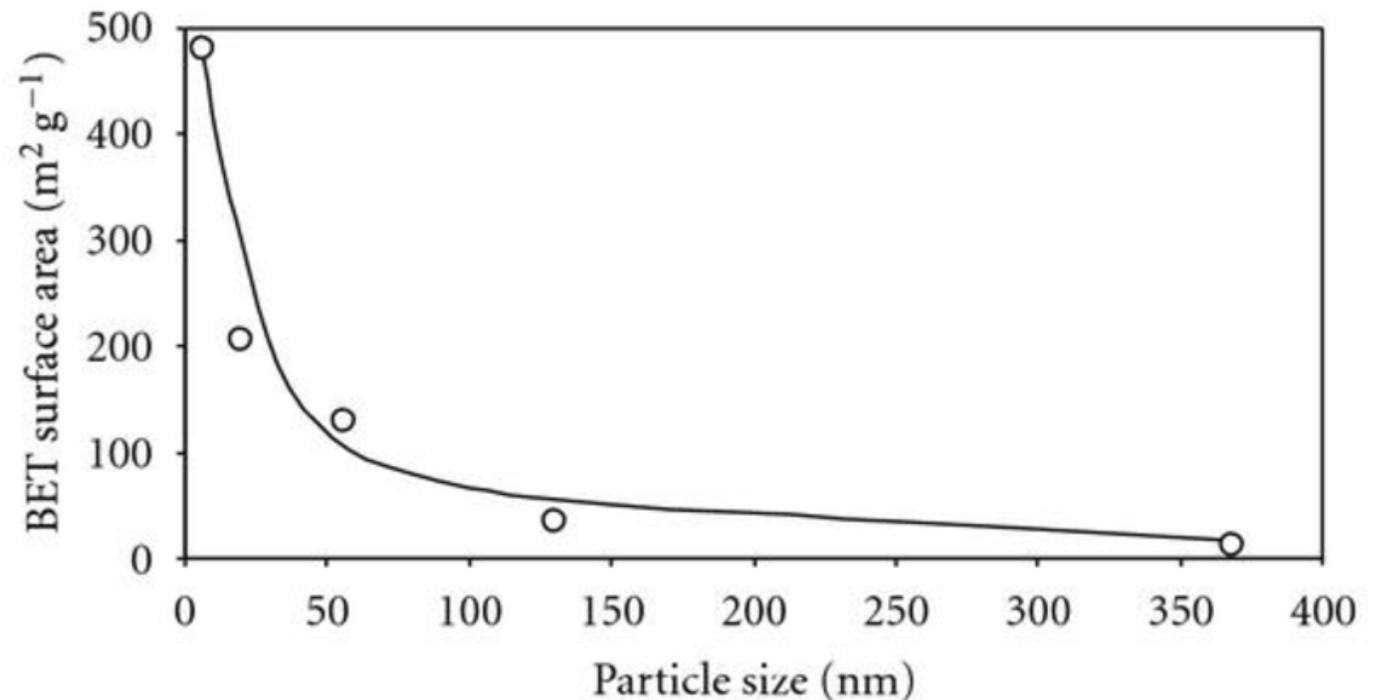
ENHANCEMENT OF DISSOLUTION RATE – API NANOCRYSTALS

For the formulation of APIs with low solubility/bioavailability:

preparation of nanocrystals → increased specific surface area → increased solubility rate

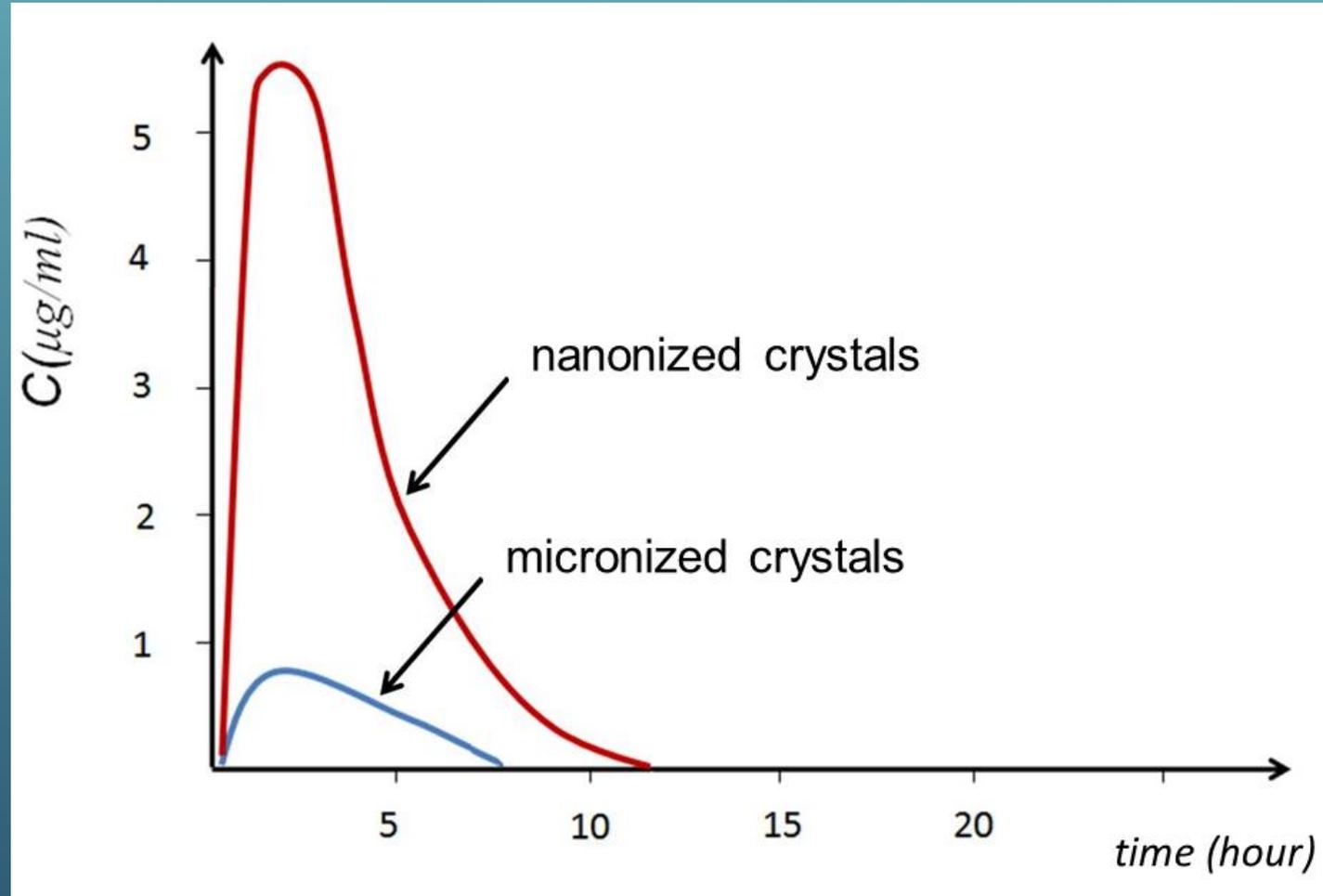
**Modified Noyes-Whitney
equation (Nernst-Brunner)**

$$\frac{dc}{dt} = \frac{AD}{Vh} \cdot (c_s - c)$$



ENHANCEMENT OF DISSOLUTION RATE – SOLVENT NANOCRYSTALS

Blood level curve in the case of micronized (2000nm) and nanonized (120nm) API



ENHANCEMENT OF DISSOLUTION RATE– SOLVENT MIXTURES

Most frequently used solvents

- ▶ Demineralised water, ethanol, isopropyl-alcohol, glycerine, propylene-glycol, PEG, oils

Cosolvents

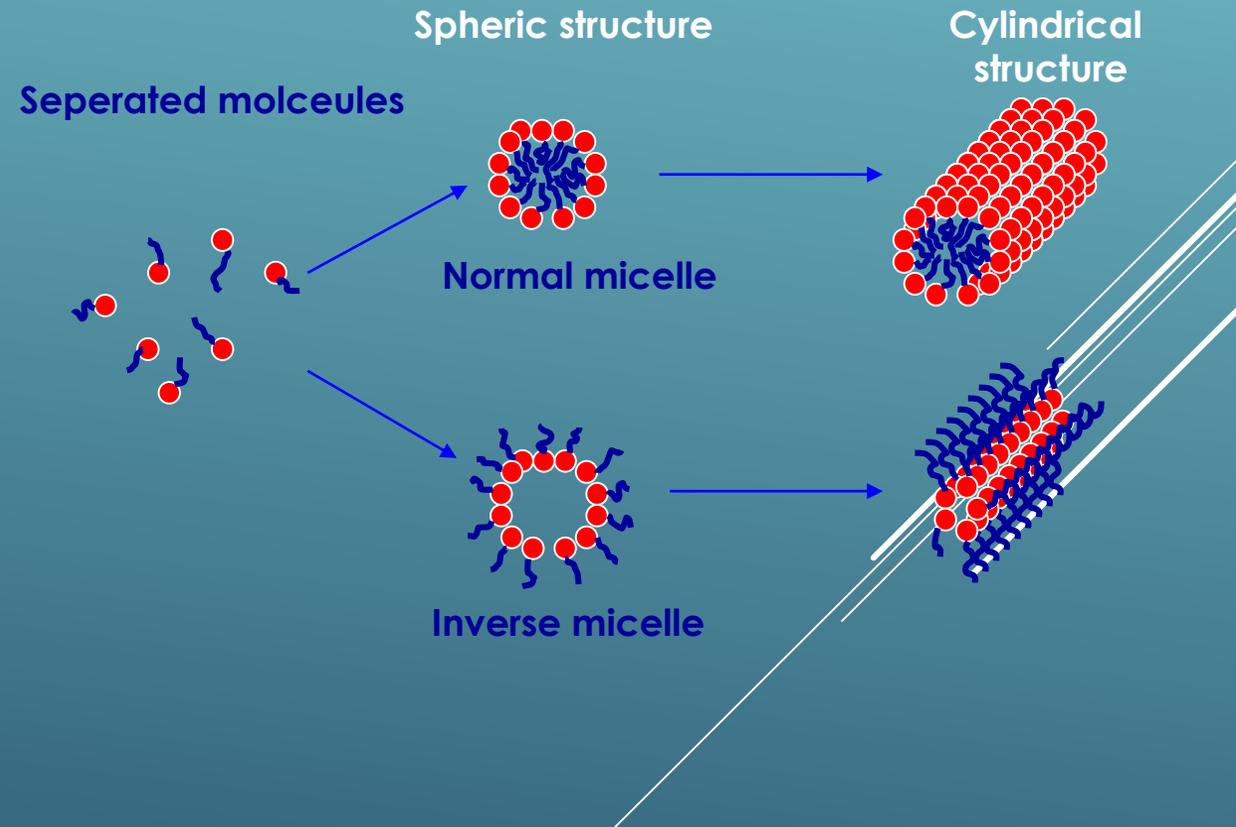
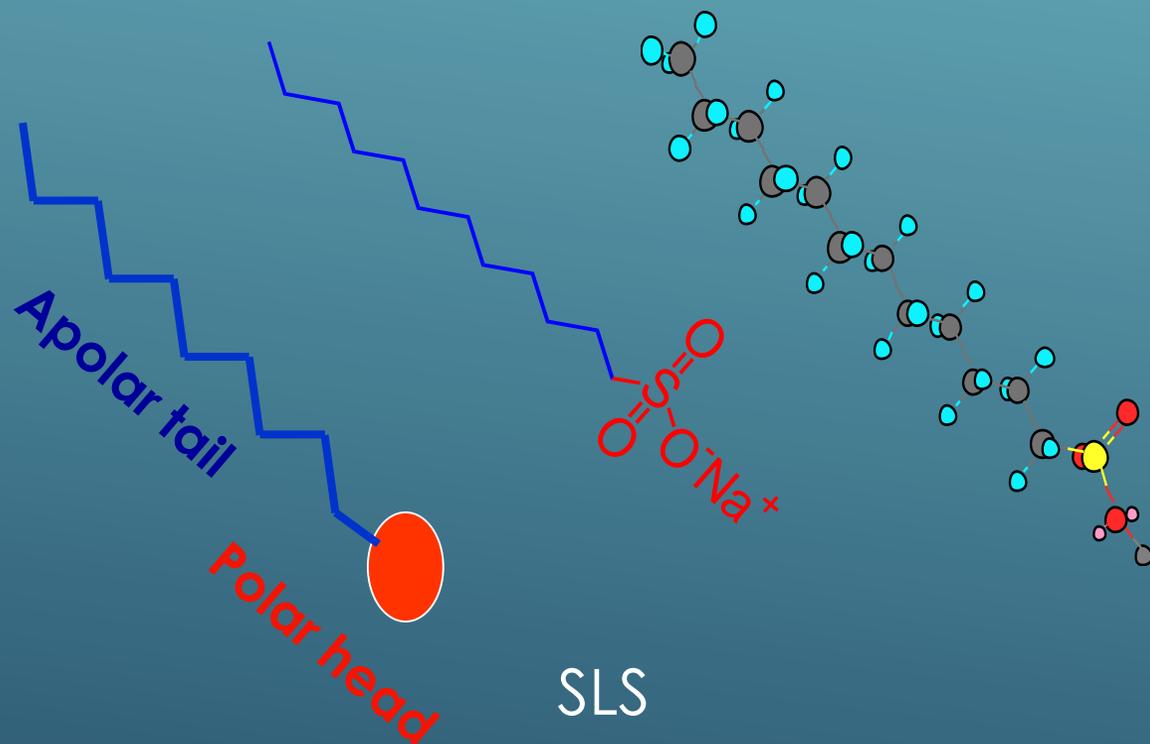
- ▶ The aim is the increase of solubility
- ▶ Alcohols, syrups
- ▶ Solvent permittivity can be altered
 - ▶ Permittivity increased → the solubility of polar substances increases
 - ▶ Permittivity decreased → the solubility of apolar substances increases
 - ▶ The relation between the relative permittivity of the solvent and solubility can be described with an exponential relation.

The effect of solvent relative permittivity on the attraction between ion can be described with relative permittivity and dielectric constant (ϵ).

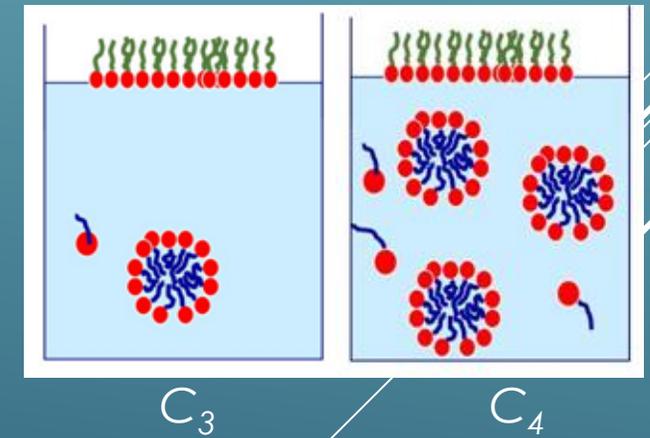
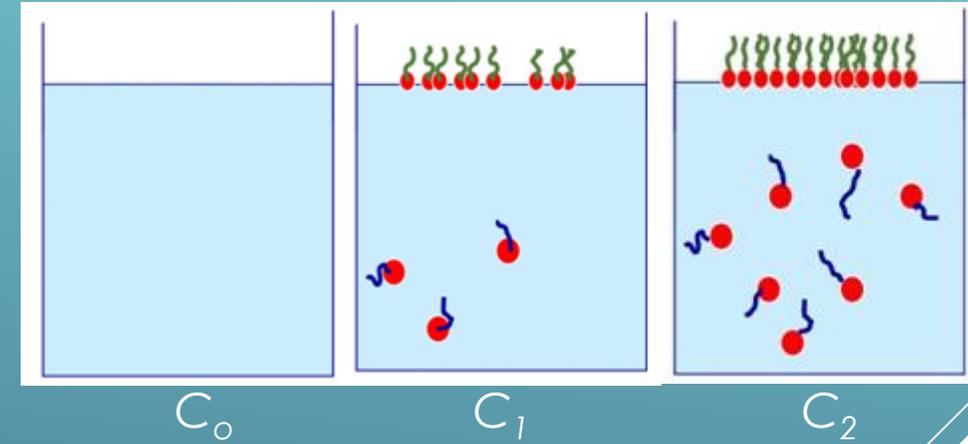
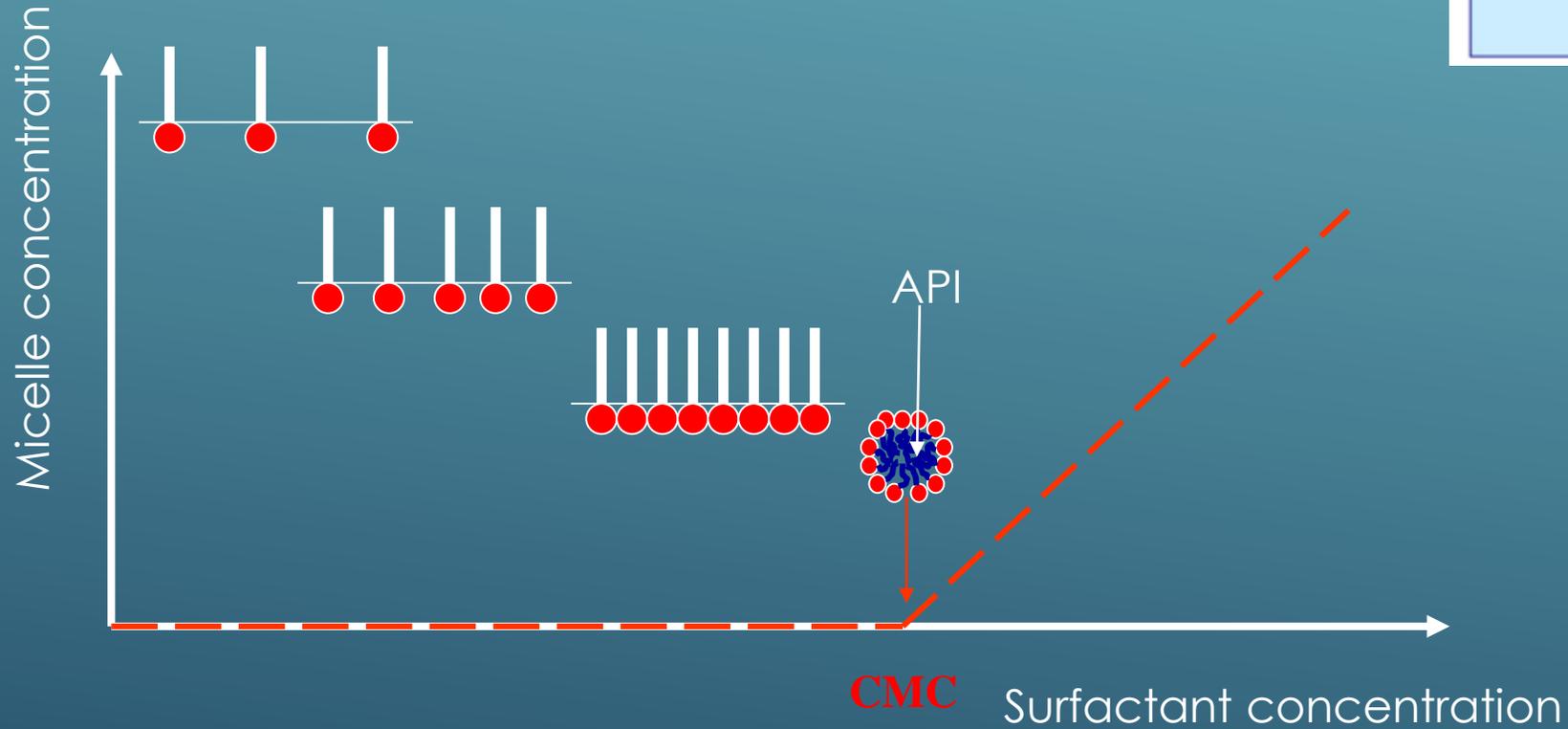
Polar liquids: $\epsilon > 15$ (pl.: water, ethanol, DMSO), Apolar liquid: $\epsilon < 15$

ENHANCEMENT OF DISSOLUTION RATE – SURFACTANTS

Most frequently used solubilizing agents are the surfactants.



ENHANCEMENT OF DISSOLUTION RATE – SURFACTANTS



ENHANCEMENT OF DISSOLUTION RATE – SOLUBILIZING AGENTS

Solubility in the presence of surfactant:

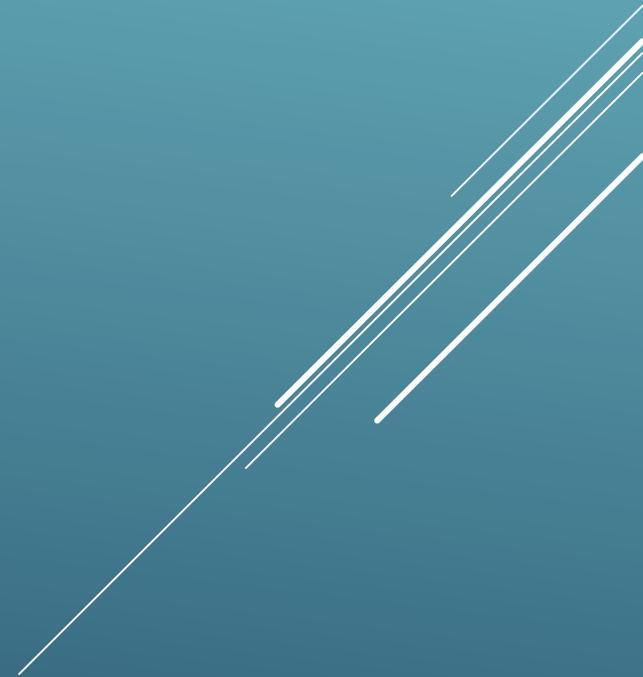
$$S = pS_w + K_{ms} \cdot (c_s - CMC)$$

K_{ms} – solubilization affinity of certain micelle

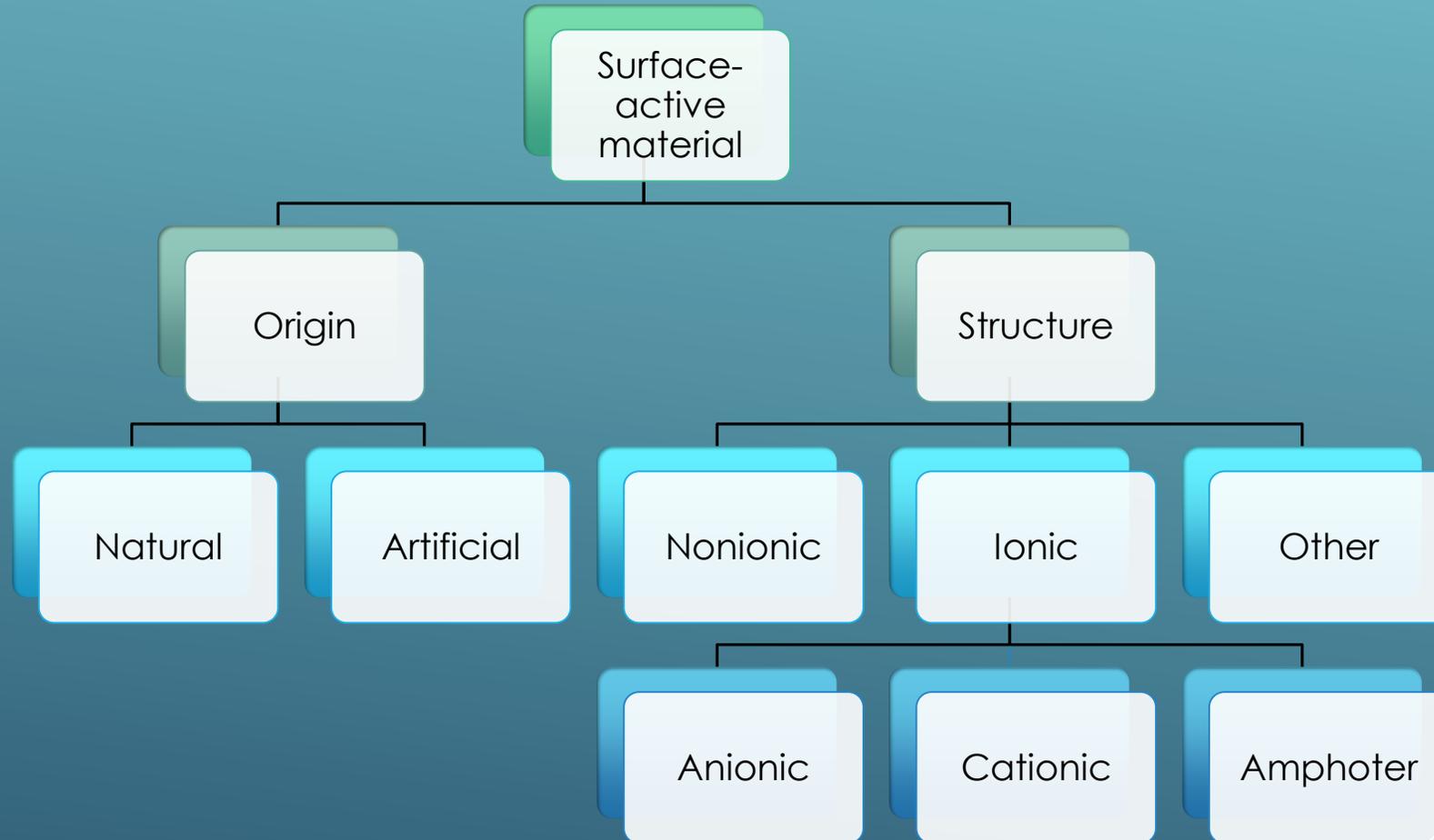
c_s – concentration of surfactant

p – other effect of surfactant on solubility

S_w – solubility in water



ENHANCEMENT OF DISSOLUTION RATE – SURFACTANTS



ENHANCEMENT OF DISSOLUTION RATE – SOLUBILIZING AGENTS

RELATION OF HLB VALUE AND SOLUBILITY

Surface active substances	HLB value
Non dispersible	1-4
Slightly dispersible	3-6
Dispersion (milk-like)	6-10
Colloid solution (opalescence)	10-13
Colloid solution (clear)	> 13

ENHANCEMENT OF DISSOLUTION RATE – SURFACE ACTIVE SUBSTANCES

HLB VALUE AND USAGE IN PHARMACEUTICS

Usage	HLB value
Defoamers	1-3,5
W/O emulsifying agents	3,5-8
Moistening agents	7-9
O/W emulsifying agents	8-16
Detergens	13-16
Solubilizing agents	16-40

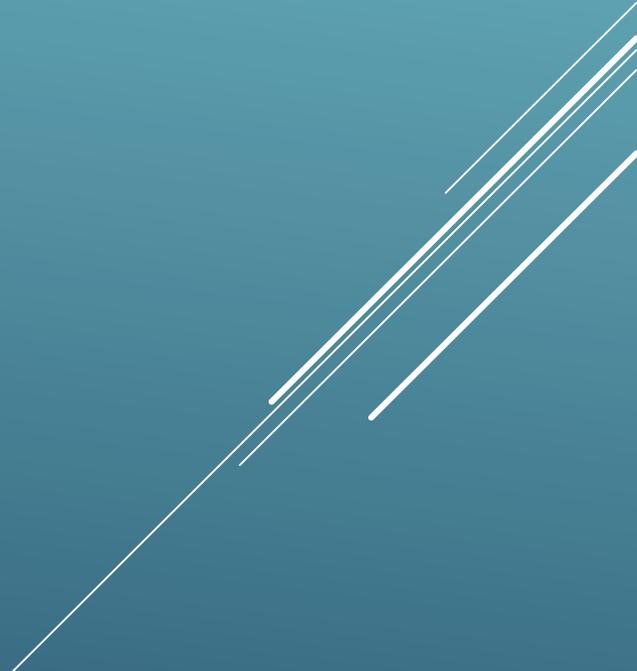
ENHANCEMENT OF DISSOLUTION RATE – COMPLEX FORMATION

Types of complexes:

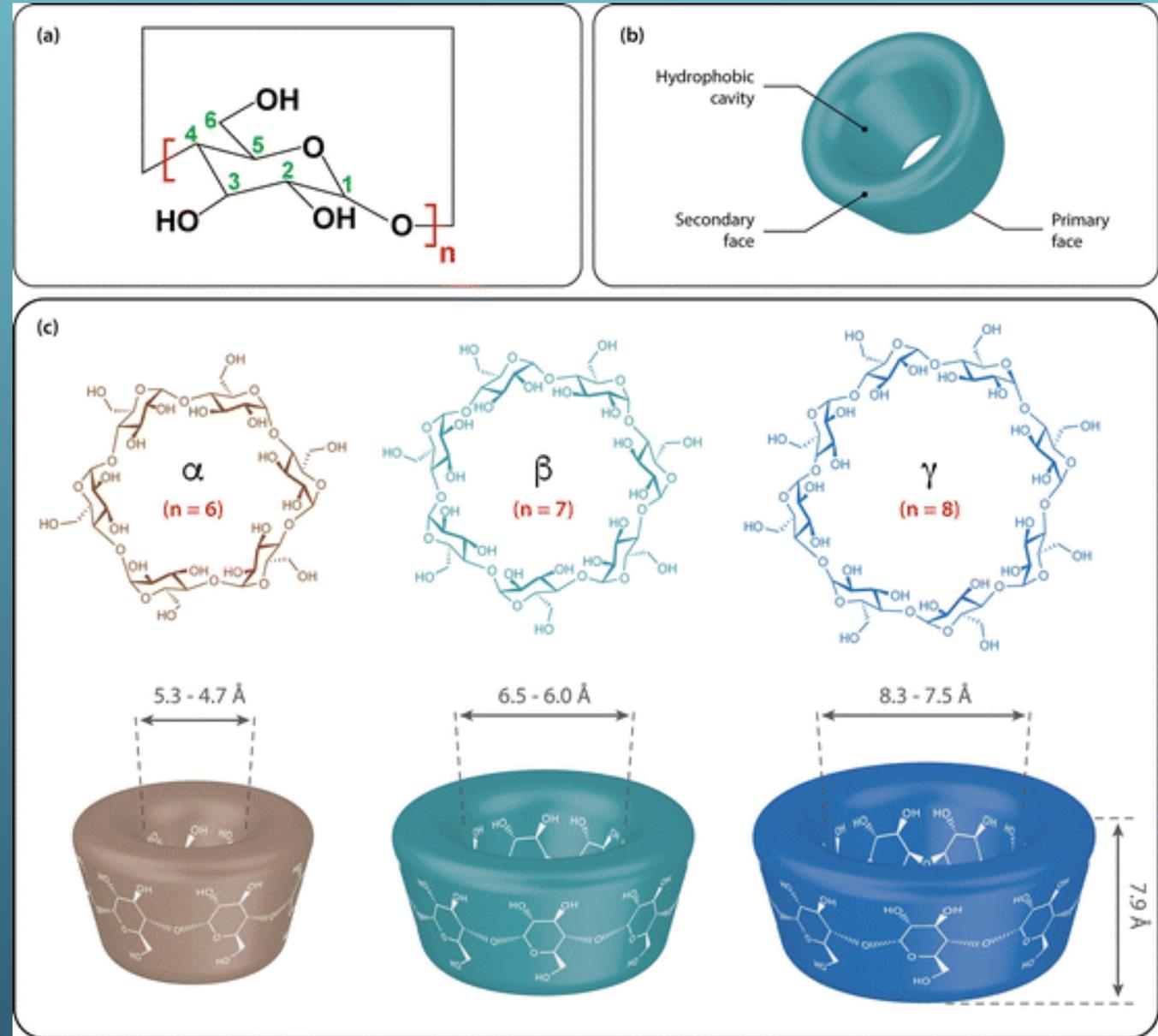
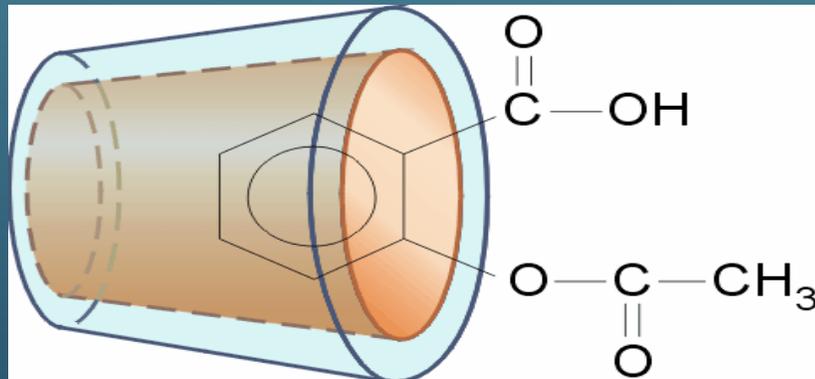
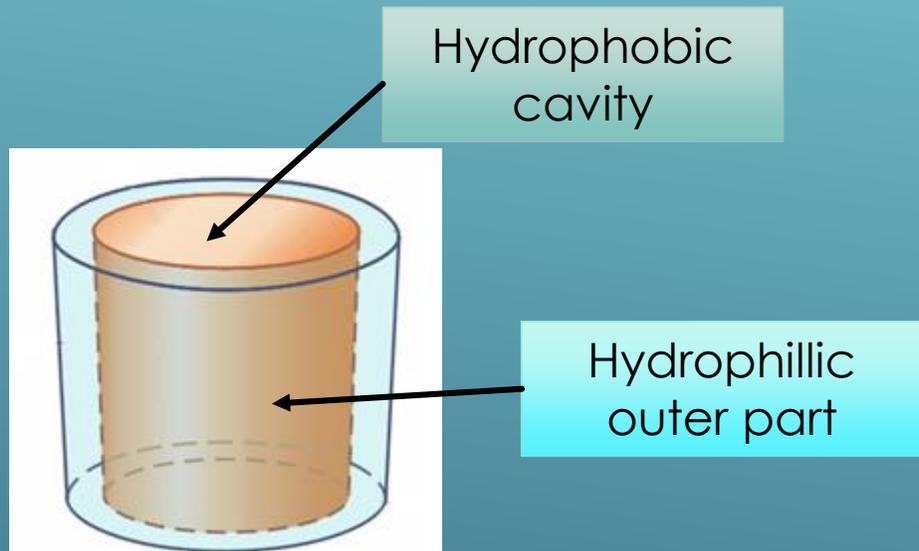
- ▶ Inorganic complex
 - ▶ chelate complexes (Pb, Hg, As intoxication)
 - ▶ Water soluble
- ▶ „loose complexes”
 - ▶ Complexes with hydrotropic agents
 - ▶ H- bridge complexes (OH, NH₂ groups)
- ▶ „molecular complexes”
 - ▶ polimers
- ▶ Inclusion – association complexes
 - ▶ ciclodextrins

ENHANCEMENT OF DISSOLUTION RATE – **HYDROTROPIC MATERIALS**

Hydrotropic materials are substances with one or two –OH groups ,or alcohol derivatives

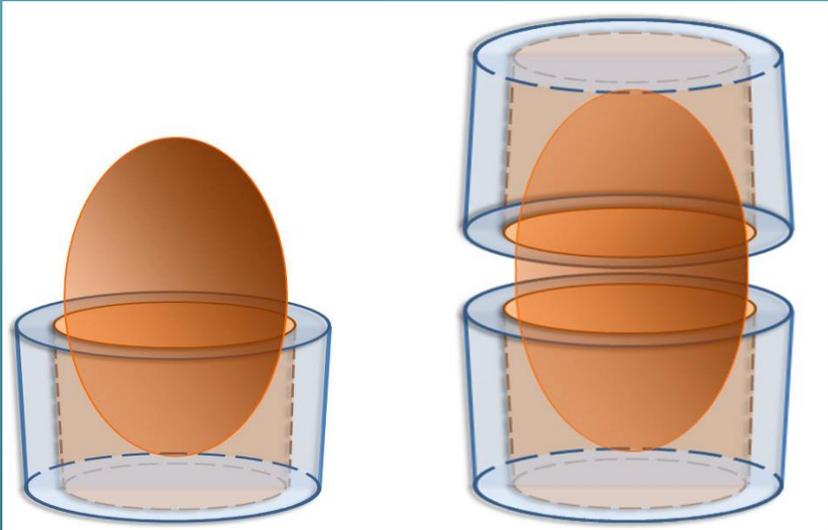
- ▶ Formation of loose complexes, H-bridges
 - ▶ Decrease of surface tension
 - ▶ Change of permittivity
 - ▶ theobromine + Na-acetate
 - ▶ oxytetracycline + salicylate, oxytetracycline + benzoate
 - ▶ theophyllin + Na-salicylate
 - ▶ caffeine + Na-benzoate
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ENHANCE OF DISSOLUTION RATE – CYCLODEXTRINS



ENHANCEMENT OF DISSOLUTION RATE – CYCLODEXTRINS

API : cyclodextrin



1:1

1:2

$$K_{1:1} = \frac{[API_{in\ complex}]}{[API_{free}] \cdot [CD_{free}]}$$

$[API_{in\ complex}]$ – concentration of API in complex

$[API_{free}]$ – free API concentration

$[CD_{free}]$ – free cyclodextrin concentration

$K_{1:1}$ – complex stability constant

ENHANCEMENT OF DISSOLUTION RATE – CYCLODEXTRINS

Increased solubility of the API in case of 1:1 ratio complex :

$$S_t = S_0 + \frac{K_{1:1} \cdot S_0}{1 + K_{1:1} \cdot S_0} \cdot [CD_{total}]$$

S_t – solubility in presence of cyclodextrin

S_0 - solubility without cyclodextrin

$[CD_{total}]$ – total concentration of cyclodextrin in solution

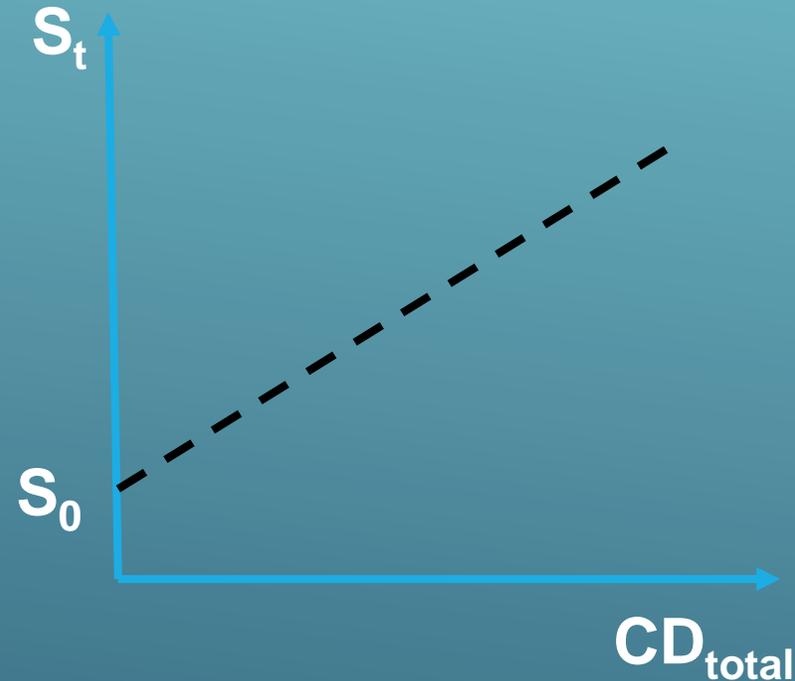
ENHANCEMENT OF DISSOLUTION RATE – CYCLODEXTRINS

Determination of stability constant, $K_{1:1}$ by Higuchi-Connors

$$S_t = S_0 + \frac{K_{1:1}S_0}{1 + K_{1:1}S_0} \cdot [CD_{total}]$$

$$S_t = S_0 + R[CD_{total}]$$

$$K_{1:1} = \frac{R}{S_0(1 - R)}$$



$K_{1:1}$ – complex stability constants

R – slope

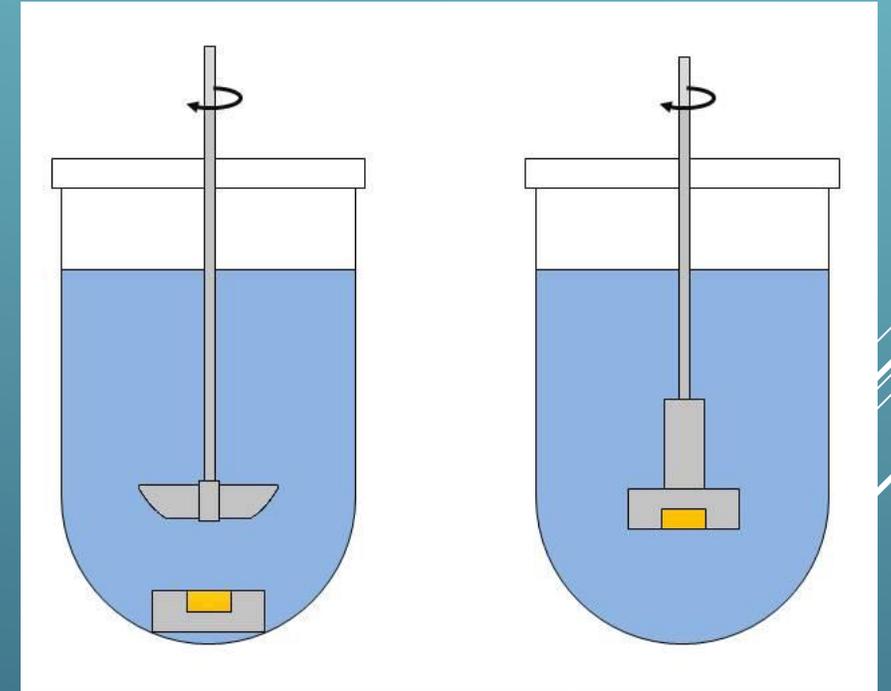
S_0 – intersection on solubility graph

DISSOLUTION RATE

The operation of dissolution is applied at drug delivery examination.

Standard circumstances:

- ▶ in prescribed flask (size, geometric properties),
- ▶ with appropriate, suitable stirrer (plate, rotating basket dissolution apparatus),
- ▶ with controlled speed,
- ▶ in a medium on appropriate temperature, in certain volume, with an desired pH.



DISSOLUTION RATE

Hixson-Crowell equation:

$$m_t^{\frac{1}{3}} - m_0^{\frac{1}{3}} = k \cdot t$$

m_0 – mass of active ingredient in dosage form at $t=0$

m_t – mass of active ingredient undissolved at t time point

k – rate coefficient

Higuchi-Hiestand correlation:

$$r^2 = r_0^2 - \frac{2Dc_s}{\rho} \cdot t$$

r_0 – radius of diffusion layer

D - diffusion coefficient

c_s – concentration in the diffusion layer surrounding the particles

ρ - density

REAL SOLUTIONS VS. COLLOID SOLUTIONS

Colloid solutions are a transition between real solutions and coarse dispersed systems.

Colloidal dispersed systems grouped by the dispersed particle type:

- ▶ **Sols:** particles with well defined surface dispersed in continuous phase ($1 < d < 500 \text{ nm}$)
- ▶ **Solutions of association colloid:** amphipatic molecules grouped to micelles
- ▶ **Macromolecular colloids:** the diameter of the particles dispersed in liquid $d < 100 \text{ nm}$. Pl.: proteins, polimer solutions.

Manufacturing:

- ▶ Milling of coarse disperse system
- ▶ Precipitation of real solution

In pharmaceutical practice colloidal solution prepared first swelling of the substance, then slow mixing and heating.

OPERATION OF DISSOLUTION

Manufacturing intermediate products:

- ▶ Stock solutions
- ▶ Solutions for preparation of ointments
- ▶ Solutions for preparation of granulating fluid
- ▶ Syrups
- ▶ Mucilage for stabilization of suspensions
- ▶ **Dissolution in practice:**
- ▶ In Pharmacy:
 - ▶ Beaker, stirring rod, magnetic stirrer and magnetic rod
 - ▶ Patendula: small amounts
- ▶ In Industry :
 - ▶ in glass or steel jars with motor-operated mixers

OPERATION OF DISSOLUTION

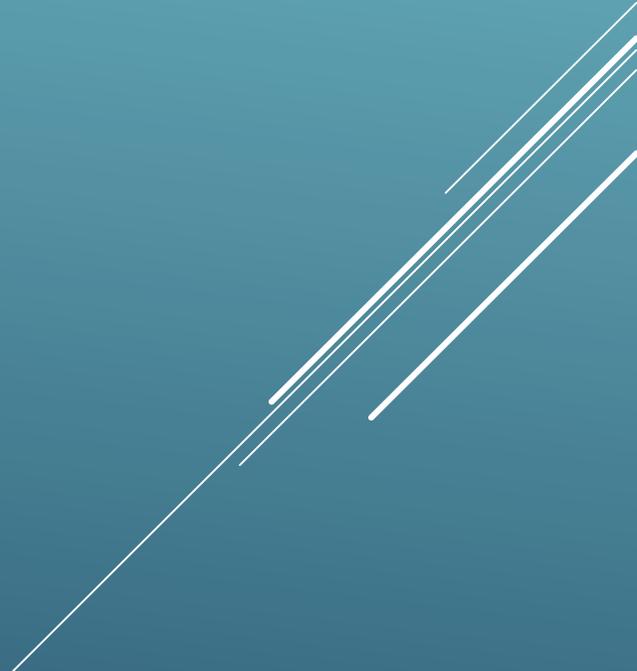
- per oral solutions,
- dermal solutions,
- painting solutions,
- injection solutions,
- infusion solutions,
- hemodialysis solutions,
- peritoneal solutions,
- dialysis solutions,
- solutions used for organ transplantation,
- perfusion solutions,
- enemas,
- eye wash solutions,
- decoctions,
- infusions,
- per oral drops,
- nose drops,
- ear drops,
- eye drops,
- inhalants,
- oral aerosols,
- nasal sprays,
- throat sprays,
- aerosols applied on intact skin and mucosa

SUMMARY

Major determining factors of the APIs biopharmaceutical classification:

- ▶ Solubility
- ▶ Rate of dissolution– **Noyes-Whitney equation!**
- ▶ Ionic-non ionic form: diffusion, membrane permeability

Methods for improving the APIs solubility/dissolution rate

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THANK YOU FOR YOU ATTENTION

